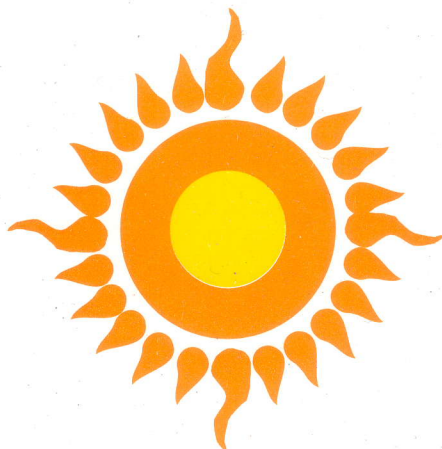


Appn. No.

Nº 000435



SUNSHINE STOCK BROKING PVT. LTD.

(Participant: Central Depository Services (I) Ltd.)

Corporate Office : 602, Express Chamber, Opp. Natraj Studio, Andheri-Kurla Road, Andheri (E)
Mumbai - 400069 Tel. : +91-22-26845496-97 Fax : +91-22-26845496, 42638585

Registered Office : F-46-B, 3rd Floor, Ramesh Marg, C-Scheme, Jaipur- 302 001
Ph. : (0141) 2223996, 4039710, 4039610 • E-mail : dpsbl@sunshinestockbroking.com

DEMAT ACCOUNT OPENING FORM FOR INDIVIDUALS / CORPORATE

Branch

DP ID

1 2 0 6 2 8 0 0

Client ID

Name

Mr. / Ms.

SCHEDULE OF CHARGES

PARTICULARS	
Account Opening Charges	Nil + Documentation
Custodial Charges	Nil
Demat Charges	Rs. 3/- per certificate plus Rs. 20/- per request
Remat Charges	0.05% of value plus Rs. 20/- postage
Transaction Charges (Receiving)	Nil
Delivery Charges	Min. Rs 20 and Max 50 or .05% of the market value whichever is lower
Annual Maintenance	Rs. 299/- (plus CDSL charges for Corporate Accounts)
Transaction Statement	FREE monthly
Pledge Creation, Closure	Rs 25/- per transaction
Internet Facility	Complimentary
Late Transaction Charges	Rs. 25/- per transaction
Upfront Payment	Rs. 299/- + Documentation

Other salient features :

1. Fees schedule are based on existing CDSL/NSDL charges and may be charged at our discretion & will be informed before 30 days of implementation.
 2. DRF would be accepted against up front payment.
 3. Demat rejection by Company/RTA Rs. 25/- per DRF.
 4. Instructions received on same day of Pay-in Rs. 25/- per scrip will be levied.
[Company will not be responsible for any claims in case of instruction late received i.e. on same day of PAY IN]
 5. Each bounce instruction (Rejected) will attract a fine of Rs. 25/-
 6. As per policy, transaction statement will be issued freely every Month. where as for extra statement Rs.15/-will charged per statement.
 7. Instruction book without requisition slip will be charged Rs. 50/-
 8. Interest @ 18% P.A. for non-Payment of bill.
 9. Service tax and order levies would be extra as applicable.
- * I/We hereby authorize you to debit and/or withdraw the money from my/our trading account opened with you to pay my/our dues in above depository account.
- * I/we have given this authorization to you voluntary for the purpose of smooth operations of my/our accounts
- * For bounce cheque Rs. 250/- penalty will be charged to client.

(Sole / First Holder)

(Second Holder)

(Third First Holder)

DEPOSITORY SERVICES-ACCOUNT OPENING FORM (For Individuals & Corporate)

SELECT ACCORDINGLY THE TYPE OF ACCOUNT

Type of Account	<i>(for individual / nri / foreign national only)</i>		
Status	<i>Sub - Status (Please Tick whichever is applicable)</i>		
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual-Director	<input type="checkbox"/> Individual Director's Relative
	<input type="checkbox"/> Individual HUF / AOP	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Others (specify)
	<input type="checkbox"/> Individual Margin Trading A/C (MANTRA)		
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable	<input type="checkbox"/> NRI Repatriable Promoter
	<input type="checkbox"/> NRI Non-Repatriable Promoter	<input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts	
	<input type="checkbox"/> Others (specify) _____		

OR

Type of Account	<i>(for corporate / clearing member only)</i>				
Sub-Status (To be Filled by DP)	<i>Status (Please Tick whichever is applicable)</i>				
	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Banks	<input type="checkbox"/> Trust	<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> OCB
	<input type="checkbox"/> FII	<input type="checkbox"/> CM	<input type="checkbox"/> FI	<input type="checkbox"/> Clearing House	<input type="checkbox"/> Other (Specify)

General Instructions for the Applicant

- Signatures can be in English or Hindi or any of the other languages contained in the 8th schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
- Signatures should be preferably in black ink.
- Details of the Names, Address, and Tel No. etc. of the Magistrate / Notary Public / Special Executive Magistrate are to be provided in case of any attestation done by them.
- In case of additional signatures (For accounts other than individuals), separate annexure should be attached to the application form.
- In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarized copy there of must be lodged along with the application.
- All correspondence / queries shall be addressed to the first / sole applicant.
- **Nomination:** Nomination is allowed only in case of Individual account. No nomination is allowed on HUF account, Corporate account & Minor Account.
- Strike off whichever is not applicable.

Form No.		Date												
BO ID	1	2	0	6	2	8	0	0	Client ID					

I / We request you to open a Demat Account in my / our name as per the following details: -
(To be filled by the applicant in **BLOCK LETTERS** in English)

Please Select the Account Type	<input type="checkbox"/> Individual	<input type="checkbox"/> Corporate
--------------------------------	-------------------------------------	------------------------------------

Sole / First Holders Details		(First name)	(Middle name)	(Last Search Name)
Name				
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other	Suffix		
Father/Husband Name				
Correspondence Address (if Different)		Permanent/Registered Address (Indian address)		
City		PIN	PIN	
State		Date of Birth/Incorporation		
Country		TelephoneNo.		
PAN		MobileNo.		
Sex		Fax		
<input type="checkbox"/> Male <input type="checkbox"/> Female		Nationality		<input type="checkbox"/> Indian <input type="checkbox"/> Others
E-mail		It Circle ward/District		
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____			
Education	<input type="checkbox"/> High School <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Doctorate <input type="checkbox"/> Prof. Degree <input type="checkbox"/> Under High School <input type="checkbox"/> Illiterate <input type="checkbox"/> Others			

Financial information	
Nature of Business	(Products / service provided)
Income Range per annum:	<input type="checkbox"/> Up to Rs. 1 Lac <input type="checkbox"/> Rs.1 Lac to Rs.2 Lac <input type="checkbox"/> Rs.2 Lac to Rs.5 Lac <input type="checkbox"/> More than Rs. 5 Lac

Additional Information		<i>(for corporate / clearing member only)</i>	
SEBI Regn. No. (If applicable)		SEBI Regn. Date	
ROC Regn. No. (If applicable)		ROC Regn. Date	
RBI Regn. No. (If applicable)		RBI Approval Date	

Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
--------------------------------------	--

I / We instruct the DP to receive each and every credit in my / our account	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

SCHEDULE OF CHARGES

Joint / Second Holder Details	
Name	
	(First name) (Middle name) (Last Search Name)
Father/ Husband Name	
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other Suffix
Permanent Address	
	PIN
City	Date of Birth
State	Telephone No.
Country	Mobile No.
PAN	Fax
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female Nationality <input type="checkbox"/> Indian <input type="checkbox"/> Other
Nature of Business (Products / Services Provided)	E-mail
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body} <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____
Income Range Per annum:	<input type="checkbox"/> Up to Rs. 1 Lac <input type="checkbox"/> Rs.1 Lac to Rs.2 Lac <input type="checkbox"/> Rs.2 Lac to Rs.5 Lac <input type="checkbox"/> More than Rs. 5 Lac

Joint / Third Holder Details	
Name	
	(First name) (Middle name) (Last Search Name)
Father/ Husband Name	
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other Suffix
Permanent Address	
	PIN
City	Date of Birth
State	Telephone No.
Country	Mobile No.
PAN	Fax
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female Nationality <input type="checkbox"/> Indian <input type="checkbox"/> Other
Nature of Business (Products / Services Provided)	E-mail
Occupation	Service { <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body} <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify) _____
Income Range Per annum:	<input type="checkbox"/> Up to Rs. 1 Lac <input type="checkbox"/> Rs.1 Lac to Rs.2 Lac <input type="checkbox"/> Rs.2 Lac to Rs.5 Lac <input type="checkbox"/> More than Rs. 5 Lac

Guardian Details (If sole /first holder is minor)	
Guardian Name	
Relationship	
Address	
	PIN
City	Date of Birth
State	Telephone No.
PAN	Email

For NRIs/ OCBs

Foreign address											
		PIN									
City					State						
Country					TelephoneNo.						
Fax					Email						

* I/We hereby Declare that I/We has complied with, and will continue to comply with, FEMA regulations and other applicable laws

Clearing Members Details (to be filled by cms only)

Name of the Stock Exchange										
Name of the CC / CH										
Trading ID										
Clearing Member ID										

Bank Details (Dividend Bank details)

Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit <input type="checkbox"/> Others (specify)									
Account number										
Bank Name										
Bank Address										
	PIN									
Bank Code (9 digit MICR code)										
Branch					City					
State					Country					

Do you wish to receive dividend / interest directly in to your bank account given below through ECS?

Yes No

Additional Details

SMS Alert Facility	<input type="checkbox"/> Yes MOBILE NO. +91 _____ <input type="checkbox"/> No									
Refer to Terms & Conditions given as Annexure-A										
easi	<input type="checkbox"/> Yes. If yes, please contact your DP for details [Facility through CDSL's website: www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.] <input type="checkbox"/> No									

Perforated Card

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature	X	X	X

Declaration

I/We have read the terms & conditions DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

A] Names		B] Designation (For Corporate)	C] Signature of Holders
Sole / First Holder		Second Holder	Third Holder
First / Sole Authorised Signatory (For Corporate)		Second Authorised Signatory (For Corporate)	Third Authorised Signatory (For Corporate)
A.			
B.			
C.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
PASSPORT SIZE COLOUR PHOTOGRAPH		PASSPORT SIZE COLOUR PHOTOGRAPH	PASSPORT SIZE COLOUR PHOTOGRAPH
<input checked="" type="checkbox"/> Signature Across Photograph		<input checked="" type="checkbox"/> Signature Across Photograph	<input checked="" type="checkbox"/> Signature Across Photograph

*(Signatures should be preferably in black ink, if authorized signatories are more than 3, then separate annexure can be attached)
[In case of minor holder, photograph of guardian has to be affixed along with minor's photograph.]*

Name *	
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is open in the name of the natural persons the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

KYC Certification

(for office use only)

I have met Mr. / Ms. _____, Mr. / Ms. _____
(1st Holder / Authorised Signatory) (2nd Holder / Authorised Signatory)

& Mr. /Ms. _____ in person and hereby confirm the identify and
(3rd Holder / Authorised Signatory)

Address as filled in this form which has been filled in my presence, and I have verified with the original documents.

Name of Employee : _____ **For Sunshine Stock Broking Pvt. Ltd.**

Emp. Code : _____

Date : _____

Place : _____ (Signature of Employee)

E - Statement

BO ID 1 2 0 6 2 8 0 0

Letter of Authority / Mandate for issuance of Ledger / Transaction / Holdings / Billing Statements etc. through E-mail

In order to facilitate ease of operations, I/We hereby authorize you to send me/us the digitally signed Transaction, Holdings Cum Billing Statement etc. at my /our Following Email Id.I/We agree to discontinue the physical statements (Transaction/ Holding/Bill /and other communication) being send to me/us. I/We hereby given my/our consent and agree to accept the related information / documents at the under mentioned EMAIL ID as the valid one.

Email Address :

Kindly activate my email id and start sending digital Ledger / Transactions / Holdings / Billing statements etc., and other market related obligations / communication on this email address.

In case of any query, We shall intimate you/branch within 24 hours thereof. In case of any change in my/our aforesaid email id I/We undertake the same to you in writing.

Kindly do the needful.

(Signature of 1st Holder)

(Signature of 2nd Holder)

(Signature of 3rd Holder)

Agreement Between A Participant And A Person Seeking To Open A Beneficial Owner's Account

This Agreement made and entered into at **MUMBAI** this _____ day of _____ between Sunshine Stock Broking Pvt. Ltd." of the legal entity having its office at 602, Express Chamber, Opp. Natraj Studio, Andheri-Kurla Road, Andheri (E), herein after called "the Participant" of the One Part; and

1st Holder _____, having his /its office / registered office / address at _____

2st Holder _____, having his /its office / registered office / address at _____

3st Holder _____, having his /its office / registered office / address at _____

hereinafter called "the Beneficial Owner" of the Other Part.

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded here in below :

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERE TO AS FOLLOWS :

General Clauses

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued from time to time by CDSL form time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

Fees, Charges and Deposits

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (whereas no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid not exceeding 18% per month or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

Statement of Account

3. The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each quarter. Such Statement shall be in the form specified in Operating Instructions. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

Beneficial Owner shall intimate change of particulars

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

Participant not liable for claims against Beneficial Owner

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

Authorised Representative

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Closure of Account

7. The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

Stamp Duty

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

Force Majeure

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or

for any harm, loss, damage or injury caused to the other due to clauses reasonably beyond its/control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

Service of Notice

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posing. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

Arbitration

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

Jurisdiction

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Mumbai.

Governing Law

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their Respective hands/seals to this Agreement in duplicate on the day, month, year and place First hereinabove mentioned.

(SIGNED AND DELIVERED by the within named the Participant) For Sunshine Stock Broking Pvt. Ltd.

(Authorized Signatory)

in the presence of:

(SIGNED AND DELIVERED by the within named the Beneficial Owner) Beneficial Owner (Name) Signature

In presence of :

Signature : _____

Name & Address : _____

Annexure-A Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions: In these Terms and conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. "BO" means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms & conditions.

Availability :

1. The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be reasonable for any event of delay or loss of message in this regard.
2. The BO acknowledge that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledge that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledge that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and / or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO.

The BO agrees to an ongoing confirmation for use of name, e-mail address and mobile number for marketing offers between CDSL and any other entity.

6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account / unauthorized transfer of securities from his BO account, immediately, which may come to his acknowledge on receiving SMS alerts. The BO send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer : The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

Liability and Indemnity : The depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at anytime incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments : The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction : Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee/charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable)

DP ID	1	2	0	6	2	8	0	0	Client ID										
--------------	---	---	---	---	---	---	---	---	------------------	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Mobile Number on which messages are to be sent	+91																		
---	-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

Email ID: _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

Place: _____

Date: _____

(Signature of 1st Holder)

(Signature of 2nd Holder)

(Signature of 3rd Holder)

PASSPORT
SIZE COLOUR
PHOTOGRAPH

Signature Across
The Photograph

NOMINATION

(Applicable Only to Individual Account)

(Please Select)

- I/We the sole holder / joint holders / Guardian (in case of minor) hereby nominate the following person who is entitled to receive security Balances lying in my / our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.
- I/We do not wish to nominate any one for this Demat Account.

Nominee Details		(First name)	(Middle name)	(Last Name)
Name				
Address				
			PIN	
Relationship with BO (if any)		City		
*Date of Birth (If Nominee is Minor)		State		
Telephone No.		Country		
Email ID		Fax		

*(As the nominee is a minor as on date, I/We appoint following person to act as guardians)

Guardian Details		(First name)	(Middle name)	(Last Name)
Name				
Address				
			PIN	
Age		City		
State		Country		

to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders.

This nomination is in accordance with the **section 109 A of the companies act, 1956**, and shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place: _____

Date: _____

Details of the Witness

Name & Address	Signature With Date
1)	<input checked="" type="checkbox"/>
2)	<input checked="" type="checkbox"/>

(Signature of 1st Holder)

(Signature of 2nd Holder)

(Signature of 3rd Holder)

(To be filled By DP)

Nomination accepted and registered wide Registration No. _____ Dated _____	For Sunshine Stock Broking Pvt. Ltd. (Authorised Signatory)
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NOTE SHEET

Annexure A Terms and Conditions - Form for receiving SMS Alerts from CDSL

Signature Across

The Photograph

Nomination Details

Sl. No.	Name of the Nominee	Relationship	Age	Gender	Address

As the holder is a trust or an entity, the following details to be provided:

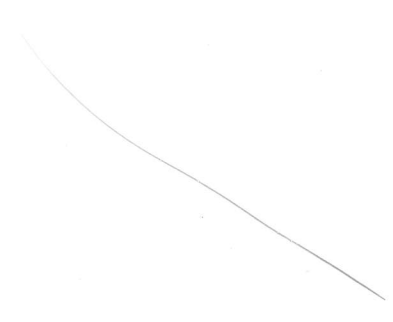
Sl. No.	Name of the Trust/Entity	Address

As the holder is a trust or an entity, the following details to be provided:

Sl. No.	Name of the Trust/Entity	Address

As the holder is a trust or an entity, the following details to be provided:

Sl. No.	Name of the Trust/Entity	Address



Checklist for Account Opening (for office use Only)

Sr. No.	Particulars	Checker			Verifier		
		1 st	2 nd	3 rd	1 st	2 nd	3 rd
1.	Whether Agreement :						
	A) Franked is with in 6 months						
	B) Today's date is Mentioned						
	C) Signature of Client is done in the agreement (Front & Back)						
2.	Self Attested PAN Copy						
3.	Address Proof						
4.	If C/o Add. is given then Permanent Add. proof is available						
5.	In Person & Document Verified with Original stamp is present						
6.	Whether Bank Proof Matches with the following:						
	A)Bank Name						
	B)Bank A/c No. & Signature						
	C)Name of Customer						
	D)MICR No.						
7.	Photographs are attached & with Signature across						
8.	Tariff is duly signed						
9.	Client Signature Is Present On Signature Column						
10.	If Nominee is Appointed :						
	A)Whether Minor Is Appointed (Check DOB)						
	B) Address of Nominee is mentioned						
	C)Details of witness is Present						
	D)Signature of holder is Present						
	E) DOB proof is attached (if minor)						
	F)Guardian details present should be of third party (if minor)						
11.	Whether any correction/alteration/whitener done is Counter signed						
Checked By: _____		Verified By: _____					

Acknowledgement Receipt

Application No.:

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of the Second Joint Holder	
Name of Third Joint Holder	

For Sunshine Stock Broking Pvt. Ltd.

Authorised Signatory

MANDATORY REQUIREMENT FOR OPENING ACCOUNT

- **Address proof of all holders:(Any One)** Self attested Bank Passbook /Bank Statement accompanied with original bank attestation by the bank along with bank official stamp and signature / self attested bank statement (original) along with original cancelled cheque copy (bank statement should not be more than 2 Quarters old) / (Ration Card) Driving License / Passport / Voter ID Card / Electricity Bill /land line telephone bill (not more than 2 month old)
- (If client has given two address i.e. Correspondence & Permanent, then proof of both the places are required)**
- In case client gives Care off address C/O, or the address of some other entity, in such cases Proof of Permanent Address needs to be obtained.
- **Self Attested PAN copy of all holders** (Ensure Clear Copies),
- **A Passport size Photograph of all Holders** (Ensure Color Photograph)
- Bank Proof: **(i)** Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) **(ii)** Photocopy of the Bank Statement having name and address of the BO and not more than 2 months old , (or) **(iii)** Photocopy of the Passbook having name and address of the BO,**(iv)** Letter from the Bank in case of options **(ii), (iii)** and **(iv)** above, MICR Code of the branch should be present/ mentioned on the document and it should be self-certified by the BO.
- **Client Signature** is required where ever "X" is marked
- Any alteration/Cancellations/Over writings made in the form have to be countersigned by all the applicant.
- A Passport size Photograph of Nominee (Ensure Color Photograph)

FOLLOWING ARE THE ADDITIONAL REQUIREMENTS FROM DIFFERENT TYPE OF CLIENTS :

INDIVIDUAL ACCOUNT

- Individual Pan card (should be self attestd)
- Individual identity Proof (If Pan Copy is not clear)
- Address proof in the name of the individual.
- Bank proof require in the name of client (individual a/c) should be self attested.
- Recent passport size photograph(s)

HUF ACCOUNT

- Pan card of the HUF (should be self attested)
- Pan card of the Karta (should be self attested)
- Address proof of the Karta.
- Bank proof in the name of HUF.
- Recent passport size Photographs of the Karta.
- HUF Declaration Form

MINOR

- PAN Card of Minor & Guardian (should be self attested)
- Guardian Proof of Address
- Birth Age proof of Minor
- Minor Photograph & Guardian photograph
- Guardian details should be mentioned on AOF
- Guardian should sign the whole application [Under the Head Guardian]
- Bank Details Proof (As referred in Accept. Form from Client)
- Nomination & Joint Holdings are Not applicable

NRI

- The Correspondence address should be of India in case of Non Repatriable client
- The Foreign address should be mentioned in NRI Foreign address
- Address Proof of both place (i.e. Indian & Foreign)
- PAN Card (should be self attested)
- Bank Details Proof
- Proof of Identity (Copy of Passport/Driving License)
- A Latest Bank Statement / bank passbook where he is operating the Bank Account as NRO for Non Repatriable & NRE for Repartriable

CORPORATE ACCOUNT

- Board Resolution of Company [As per CDSL Format]
- Memorandum &Articles of Association of Company
- Form No. 32in case if there is anysubsequent change in Director
- PAN Card of company
- Photograph of allAuthorised Signatories on theform
- Proof of Identity & Address of all Authorised Signatories
- Company Address Proof (Attested Bank Statement/Income Tax Return Copy/Or Leave &license agreement)
- Company Bank Details Proof
- Every signature of Authorised Signatories should be company with Company Stamp
- Nomination are not allowed.